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GNV31CUSA/K1774DIV2

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Complete if Known Substitute for form 1449/PTO 10/696,261 **Application Number** INFORMATION DISCLOSURE October 29, 2003 filing Date STATEMENT BY APPLICANT Wilson et al First Named Inventor 1635 Group Art Unit Brian A. Whiteman (Use as many sheets as necessary) **Examiner Name**

Attorney Docket Number

		NONPATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item, (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
þν	LLL	ZHI ET AL, Increased Antigen-Specific Humoral Response by Adenoviral Vector Prime and Adeno-Associated Viral Vector Boost, Abstract 569, 7 th Annual Meeting of the American Society of Gene Therapy [June 2-6, 2004] Minneapolis, Minnesota, (e-publ. May 2, 2004)	
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^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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		U.S.	PATE	NT DOCUMENTS	31=====	Pages, Columns,
Examiner	Cite	Document Number		Publication Date	Name of Patentee or	Lines, Where Relevant Passages or Relevant
Initials* No.1		Number-Kind Code ^{2(if knows)}		MM-DD-YYYY	Applicant of Cited Document	Figures Appear
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			TION	Filing Date	October 29, 2003	
	DISCLOSURE STATEMENT BY			First Named Inventor	James M. Wilson	
APPLICANT (Use as many sheets as necessary)		Group Art Unit	1635			
		Examiner Name	Brian A. Whiteman			
Sheet	2	of	2	Attorney Docket Number	GNV31CUSA/ UPN-K1774DIV2	

City and/or country where published GAO ET AL, Clades of Adeno-Associated viruses are widely Disseminated in Human Tissues, Journal of Virology, Vol. 78, No. 12, pp. 6381-6388, (June 2004) GAO ET AL, Erythropoietin Gene Therapy Leads to Autoimmune Anemia in Macaques, Blood, Vol. 103, No. 9, (May 1, 2004)	_
JJJ GAO ET AL, Erythropoietin Gene Therapy Leads to Autoimmune Anemia in Macaques, Blood, Vol. 103, No. 9, (May 1, 2004)	
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KKK RICK ET AL, Congenital Bleeding Disorders, American Society of Hematology, pp. 559-574, (2003)	_

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Complete if Known Substitute for form 1449/PTO 10/696,261 **Application Number** October 29, 2003 **INFORMATION** Filing Date James M. Wilson **DISCLOSURE** First Named Inventor STATEMENT BY 1635 Group Art Unit **APPLICANT** Brian A. Whiteman **Examiner Name** (Use as many sheets as necessary) GNV31CUSA/ Attorney Docket 8 1 of Sheet UPN-K1774DIV2 Number

			U.S	. PATENT DOCUMEN	rts	
Ex	aminer	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant Passages
In	itleis*	No.1	Number-Kind Code ^{2(i) interest}	MM-DD-YYYY	Cited Document	or Relevant Figures Appear
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	[В	US-6,274,354 B1	08-14-2001	Wilson et al	
		С	US-6,482,634 B1	11-19-2002	Wilson et al	
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'n		F	US-6,399,385 B1	06-04-2002	Croyle et al	

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PY	G	PCT WO03/093440 A2	11-13-2003	University of Florida		
	H	PCT WO03/092598 A2	11-13-2003	University of Florida		
D-	I	PCT WO98/11244 A2	03-19-1998	J. Chiorini		

Examiner // / / /	Date 11/2010
Signature MA 1136	Considered

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	Substitute for form 1449/PTO		Complete if Known		
Substitut	e for form 1	449/PTO		Application Number	10/696,261
	INFO	RMA'	TION	Filing Date	October 29, 2003
	DISC	CLOS	URE	First Named Inventor	James M. Wilson
STATEMENT BY APPLICANT (Use as many sheets as necessary)		Group Art Unit	1635		
		Examiner Name	Brian A. Whiteman		
Sheet	2	of	8	Attorney Docket	GNV31CUSA/

U.S. PATENT DOCUMENTS							
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant Passages		
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				Group Art Unit	1635
C				Examiner Name	Brian A. Whiteman
Sheet	3	of	8	Attorney Docket Number	GNV31CUSA/ UPN-K1774DIV2

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Examiner	Cite	Publication Date	Name of Patentee	Pages, Columns, Lines, Where Relevant Passages		
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	Z	PCT WO01/23001 A2	04-05-2001	M. Croyle			
1	AA	PCT WO01/83692 A2	11-08-2001	M. Hildinger			
U.	BB	PCT WO01/40455 A2	06-07-2001	G. Gao			

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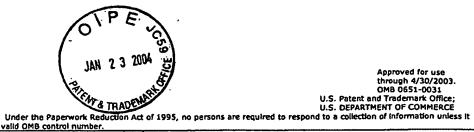
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INFORMATION DISCLOSURE				Filing Date	October 29, 2003
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		PLIC		Group Art Unit	1635
(Use as m	any shee	ts as necessary)	Examiner Name	Brian A. Whiteman
Sheet	4	of	8	Attorney Docket Number	GNV31CUSA/ UPN-K1774DIV2

			OTHER PRIOR ART-NONPATENT LITERATURE DOCUMENTS	
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			_	First Named Inventor	James M. Wilson
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Sheet	5	of	8	Attorney Docket Number	GNV31CUSA/ UPN-K1774DIV2

Examiner Cite Initials* No.1		Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item, (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), columeousse number(s), publisher, city and/or country where published			
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B	RR	K. BERNS, Parvovirus Replication, Microbiological Reviews, 54(3):316-329 (September, 1990)			

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of

Complete II Known				
Application Number	10/696,261			
Filing Date	October 29, 2003			
First Named Inventor	James M. Wilson			
Group Art Unit	1635			
Examiner Name	Brian A. Whiteman			
Attorney Docket Number	GNV31CUSA/ UPN-K1774DIV2			

Complete if Known

Examiner Initials*	Cite No.1	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item, (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), columeousse number(s), publisher, city and/or country where published	T²
PY	SS	R. SAMULSKI ET AL, Cloning of Adeno-Associated Virus into pBR322: Rescue of Intact Virus from the Recombinant Plasmid in Human Cells, Proc. Natl. Acad. Sci. USA, 79:2077-2081 (March, 1982)	
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B.	XX	X. XIAO ET AL, Gene Transfer by Adeno-Associated Virus Vectors into the Central Nervous System, Experimental Neurology, 144:113-124 (1997)	

		
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	TNE	ORMA	TTON	Application Number	10/696,261	
	INFORMATION DISCLOSURE			Filing Date	October 29, 2003	
STATEMENT BY		First Named Inventor	James M. Wilson			
	APPLICANT		Group Art Unit	1635		
(Use as many sheets as necessary)		Examiner Name	Brian A. Whiteman			
Sheet	8	of	8	Attorney Docket Number	GNV31CUSA/ UPN-K1774DIV2	

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R"	YY	ROSE ET AL, Microbiology, Vol. 546, Pages, 86-92, (1966)	
	ZZ	ANDERSON ET AL, Nature, Vol. 392, Pages, 25-30, (1998)	
	AAA	VERMA, Nature, Vol. 389, Pages 239-242, (1997)	
er	BBB	ORKIN ET AL, Report and Recommendations of the Panel to Assess the NIH Investments in Research on Gene Therapy, issue by the National Institute of Health, (December 7, 1995)	

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. (Use as many sheets as necessary)	Group Art Unit	1635
	Examiner Name	Brian A. Whiteman
Sheet 1 of 1	Attorney Docket Number	GNV31CUSA/K1774DIV2

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Examiner Initials*	Cite No. ¹	Number-Kind Code ^{2(if} known)	Publication Date MM-DD-YYYY	Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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		SCLOS	-	Filing Date	October 29, 2003	
STATEMENT BY				First Named Inventor	James M. Wilson	
		PLICA		Group Art Unit	1635	
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R ~	DDD	SURACE ET AL, Delivery of Adeno-Associated Virus Vectors to the Fetal Retina: Impact of Viral Capsid Proteins on Retinal Neuronal Progenitor Transduction, Journal of Virology, Vol. 77, No. 14, pp. 7957-7963, (July 2003)	
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STATEMENT BY APPLICANT				First Named Inventor	James M. Wilson	
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Sheet	Sheet 1 of 1		Attorney Docket Number	GNV31CUSA/K1774DIV2		

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